

## Suspension, Withdraw & terminations

## 1. Object

This document covers treatment and discontinuation, discontinuation and Revocation of certification.

# 2. References and definitions

## 2.1. References

This document considers the following documents: NF EN ISO / IEC 17011 "Conformity assessment requirements for organizations. Accreditation system for the accreditation of conformity assessment bodies ". IAF MD 7 "Harmonization of sanctions applied to assessment conformity bodies. This document is available at <u>www.iacaab.org</u>

## 2.2. Definitions

2.2.1. Organization Organizations that provide conformity assessment services and may be subject to accreditation.

**2.2.2.** Report any document issued by an institution as a result of conformity assessment activities. Examples: Certificates, Attestations, Inspection Reports, Reports.

**2.2.3**. Suspense Procedure to temporarily revoke all or part of the scope of accreditation, whether or not authorized Organization-led (voluntary suspension) or IACA -led (compulsory suspension).

**2.2.4.** A process initiated by an organization to terminate all or part of its certification his reach.

**2.2.5**. Withdrawal A process initiated by IACA to revoke all or part of a certification.

## 3. Scope

This provision applies in the event of any revocation, suspension or termination of any certification. Types of Certification Bodies IACA has contracted with

#### 4. Application Rules

This provision applies to accredited bodies from 15 January 2020.

#### 5. Change to previous version



Significant changes are indicated by vertical lines in the margins. In Section 9, they relate to an organization's obligation to inform its customers that: Consequences of suspension, termination or cancellation of certification within the period adaptation. Procedures for transfer of accreditation to accreditation bodies are no longer mentioned; these provisions are defined in industry documents where applicable. Also, 6.2, 7, and 8 have been slightly reorganized for better understanding.

#### 6. Suspension of accreditation

#### 6.1. Decision to stop

For compulsory suspension: Suspensions are effective on the date specified in the suspension decision (typically 3 business days). After the date of notification). The decision must be sent by registered mail with confirmation of receipt; indicate the scope of the suspension, the reasons for the decision and the conditions. Where organizations can restore certification. In case of partial suspension - a new certificate indicating the effective date. An updated technical appendix defining the activities for which solutions and certifications are carried out. Support, installation. If the organization does not provide the items requested by IACA within the time.

#### 6.2. Stopping

The body whose accreditation has been suspended must notify the customer immediately (para. 9) Stop all references to certification (see Section 10). Organizations with suspended certification are not authorized to report results in accordance with the following regulations: recognition even if appropriate action has been taken before the suspension becomes effective; or Amend reports issued under certification prior to the effective date of suspension. Certification or qualification institutions whose accreditation has been suspended cannot be issued No decision on issuing certification certificates/qualifications (no renewal, no certificate cannot be suspended or revoked), original or renewed certificate cannot be issued, cannot be transferred Accreditation by other certification bodies. IACA has the option to conduct an on-site assessment of your organization's performance. Subject to voluntary certification suspension. Assessment aims to confirm activity. Conducted in accordance with certification requirements prior to entry into force suspense. The feasibility of such an assessment is considered individually, taking into account: In particular, the scope of the activities carried out by the organization and the results of the final evaluation.

#### 6.3. Suspension lifting

Whether the suspension is voluntary or not, accreditation can only be recovered after submission and review of evidence of compliance with accreditation requirements for the activities concerned, and written notification from IACA.

Case of involuntary suspensions: The conditions for lifting the suspension are specified in the suspension decision notified to the body. When the suspension follows an onsite assessment, the arrangements are specified in the accreditation regulations.

Case of voluntary suspensions: The procedures for lifting the suspension (documentary or onsite evaluation) are determined by IACA on a case by case basis, in particular depending on the reason and the duration of the suspension. If the accreditation of the body is suspended in full, the decision to lift the suspension will require an onsite assessment at least in the following cases: More than 6 months have passed since the suspension took effect, or the last onsite evaluation of the organization was carried out more than 15 months ago (first cycle accreditation) or 18 months (subsequent accreditation cycles), or the last reassessment was carried out more than 60 months ago. In the event that IACA offers to verify the compliance of the organization's functioning with the accreditation requirements based on documentary evidence, and if the evidence submitted by the body does not are not considered sufficient, the organization is informed by mail that the suspension accreditation can only be lifted in light of the results of an onsite assessment. He has the possibility of doing appeal of the decision refusing to lift the suspension. The appeal is then handled in accordance with the procedure GEN PROC 04. In the event that the suspension is lifted on the basis of an internal audit report produced by the body, the reality of the audit findings is verified during the closest onsite assessment. If IACA calls on external expertise to assess the evidence transmitted, the organization benefits a right to challenge the solicited persons, in accordance with the GEN PROC 09 procedure.

### 7. End of authentication

An organization may decide to terminate certification for all or part of its activities. Was provided the desired date and measures to be taken must be specified in the cancellation request form. The site/geographic location you need to refer to. Revocation of certification is brought by a representative of IACA or a person identified by his/her representative. Effective date. In case of partial termination, IACA issues a new certificate. Certification specifying the effective date of termination and changing the content of the technical appendix Determination of the type of activity for which recognition is maintained. The body that has terminated the certification must immediately notify the customer (see Section 9). Stop all references to accreditation. When authentication is completed, the contract is automatically terminated. Dong. However, IACA reserves the option to conduct an assessment prior to contract termination. The organization's website for activities subject to certification requirements. For example, effective termination of accreditation and/or implementation of planned actions in response to identified nonconformity(s). The validity of this assessment is considered. In particular, taking into account the volume and results of activities carried out by the organization, individually last evaluation.

#### 8. Rejection of Certification

The withdrawal will be effective on the date specified in the CEO or his/her decision letter. Representative (3 business days from date of notice). This decision has been notified Certification letter with acknowledgment of receipt indicating the scope of certification associated with revocation as well as the reason for the decision. In case of partial revocation, IACA will issue a new certificate or an amendment to the certification certificate. Changes the content of the technical appendix indicating the effective date of the withdrawal and defining: The realm where authentication is maintained.



9. Information about Certification Status Organizations must inform customers without undue delay due to their impact on customers. Suspension, termination or revocation of certification and its consequences. Interested customers are those who have at least a valid service contract with respect to: Events affected by this decision and prospects approached for signature contract for that activity. This information, in particular, is that the service is no longer included in the certification and As a result, reports issued pursuant to a decision to suspend, terminate or withdraw International Recognition Agreement EA, IAF or ILAC (see paragraphs 62 and 10). Certification/qualification bodies whose accreditation has been terminated or withdrawn a client with a valid certificate indicating that it is no longer valid. Certificates can be reissued without Verification links unless prohibited by rules. Where specified in the IACA specific requirements document, IACA will the person prescribing certification makes the decision to suspend, terminate, and revoke certification. In case the certification body's certification is revoked after a fraudulent act has been detected Manufacture and use that is counterfeit or knowingly violates the Rules Upon approval, the IAF Secretariat will be notified by IACA of the decision to withdraw and the reasons for the approval.

